

# The North Carolina Health Professions Data System

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North Carolina Health Professions Data System

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FOR HEALTH SERVICES RESEARCH

# Who are we?

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- A collaboration between the Sheps Center, NC AHEC and the health professions licensing boards
- Data are provided ***voluntarily*** by the boards—there is no legislation that requires this, there is no appropriation
- ~30 years of continuous, complete licensure (***not survey***) data

# Who are we?

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- Data housed at Sheps but remain property of licensing board, permission sought for each “new” use
- System is independent of government or health care professionals
- Funding provided by: NC AHEC Program Office, data request fees, project cross-subsidies, and the UNC-CH Office of the Provost.

# Our Mission

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To provide timely, objective data and analysis to support decision making for health professions policy in North Carolina and the United States

- To support research and policy analysis of health workforce issues
- To train others in how to develop and maintain data systems in health workforce

# Categories of Health Professionals in Data System\*

- Physicians (MDs and DOs)
- Physician Assistants
- Dentists
- Dental Hygienists
- Optometrists
- Pharmacists
- Physical Therapists
- Physical Therapist Assistants
- Respiratory Therapists (2004)
- Registered Nurses
- Nurse Practitioners
- Certified Nurse Midwives (1985)
- Licensed Practical Nurses
- Chiropractors
- Podiatrists
- Psychologists
- Psychological Associates
- Occupational Therapists (2006)
- Occupational Therapy Assistants (2006)

\*Have published data since 1979 for all professions unless otherwise noted in parentheses.

# Data Collected by the HPDS

Profession	Demographic and Educational Info										Employment Info							
	Unique ID	Birth date	Gender	Race/ethnicity	Place of Birth*	Home Address	Preferred Mailing address*	Entry Degree	School Attended & Location	Graduation Year	Highest Degree Obtained*	Practice Address	Practice Setting	Type of Position	Clinical Practice Area	Secondary Practice	Hours worked per week*	Activity Status - retired, active, unemployed
MD/DO	•	•	•	•	•	•	•	•	•	•		•	•	•	•	•	•	•
PAs	•	•	•	•		•	•	•	•	•		•	•	•	•	•	•	•
NPs	•	•	•	•		•	•	•	•	•	•	•	•	•	•			•
Dentists	•	•	•	•		•	•	•	•	•		•	•	•	•	•	•	•
Dental Hygienists	•	•	•	•		•	•	•	•	•		•	•	•			•	•
RNs/LPNs	•	•	•	•		•	•	•	•	•	•	•	•	•	•		•	•
Pharmacists	•	•	•	•		•	•	•	•	•			•	•			•	•
PT/PTAs	•	•	•	•		•	•	•	•	•		•	•	•				•
OT/OTAs	•	•	•	•		•	•	•	•	•	•	•	•		•		•	•

\*Desirable, through not imperative in the minimum dataset for HPDS purposes.

# Challenges to Collecting Data

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- **Motivational** – Why do it? Convince policy-makers and funders that it's worth it to collect and analyze workforce data
- **Organizational** - How to set it up, where
- **Analytical** - How do you count/define/locate providers?
- **Financial** - Who pays? How do you sustain?

## CHALLENGES

Motivational

Organizational

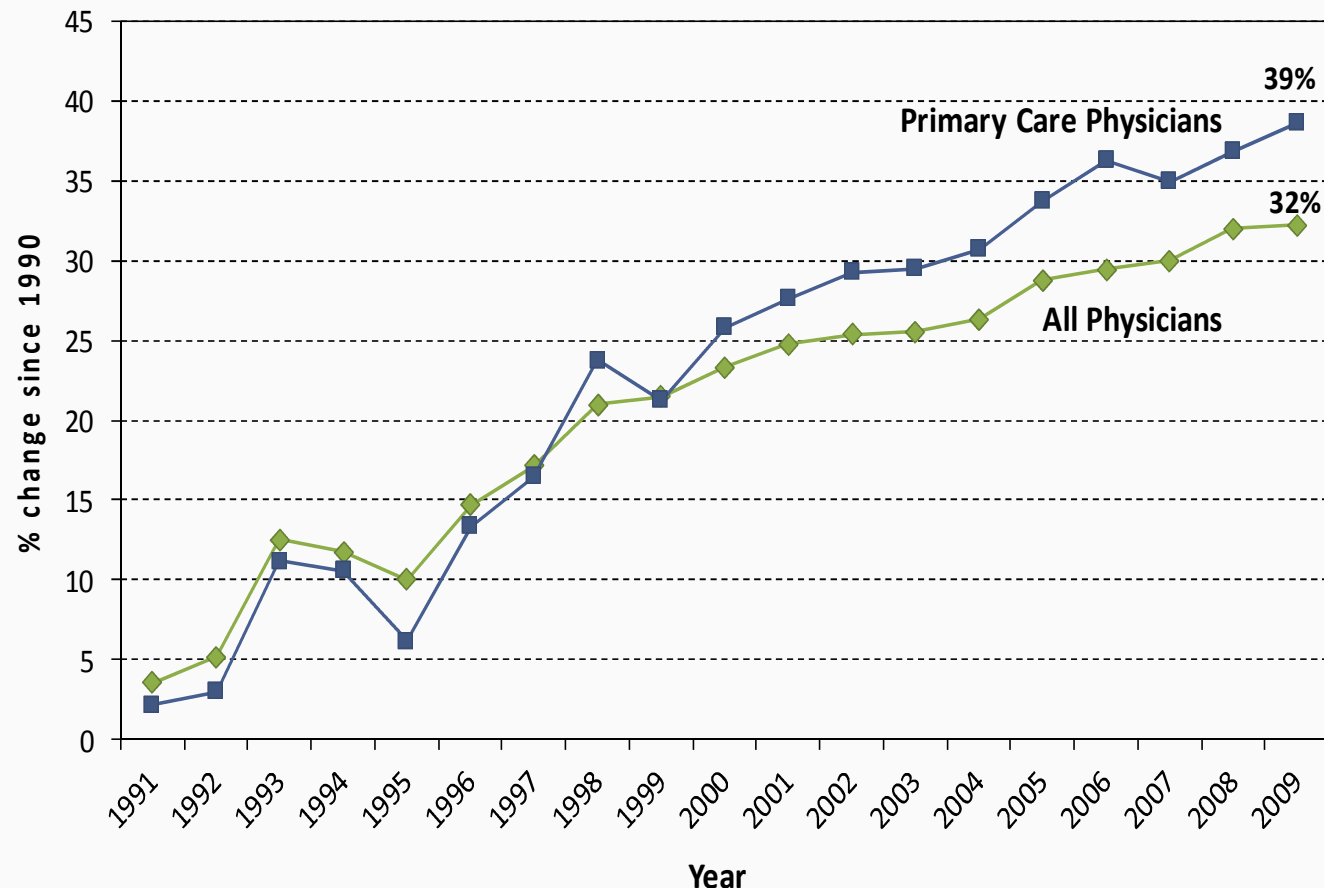
Analytical

Financial

Data-driven workforce analyses necessary to:

**Monitor Trends**

**Percentage  
Growth Since  
1990 of  
Physicians and  
Primary Care  
Physicians  
per 10,000  
Population,  
North Carolina,  
1991-2009**



Sources: North Carolina Health Professions Data System with data derived from the North Carolina Medical Board, 1979 to 2009;  
Figures include all licensed, active, instate, non-federal, non-resident-in-training physicians. Primary care include FM, GP, IM, Peds, Ob-Gyn.



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## CHALLENGES

**Motivational**

**Organizational**

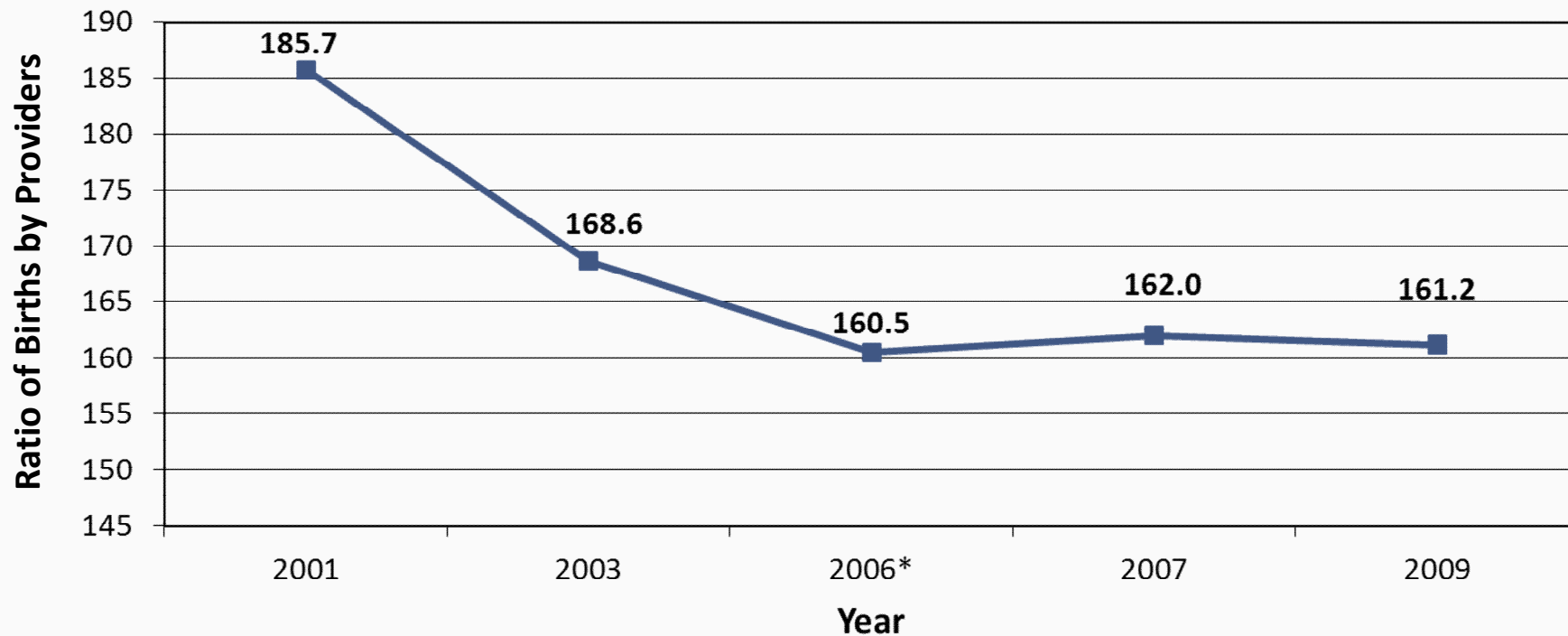
**Analytical**

**Financial**

Data-driven workforce analyses necessary to:

**Challenge Anecdotal Evidence**

**Annual Number of Births per OB/GYN Providing Obstetric Deliveries North Carolina, 2001-2009**



\*2005 data contained a large percentage of missing values, therefore 2006 data were used.

Source: NC Health Professions Data System with data derived from the North Carolina Medical Board. Data are for active, in-state, non-federal, non-resident-in-training physicians licensed by the NC Medical Board as of October of each year. Data are self-reported at time of initial licensure and subsequent renewal.



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## CHALLENGES

Motivational

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Data-driven workforce analyses necessary to:

### Justify Funding Requests

- **Dental school:** HPDS data instrumental in decision to build new dental school in eastern part of state
- **Pharmacy school:** HPDS data saved the state an estimated \$80 million by showing NC had more than adequate supply of pharmacists
- **Medical school and GME expansion:** HPDS data used to identify geographic and specialty areas in short supply

## CHALLENGES

**Motivational**

**Organizational**

**Analytical**

**Financial**

Data-driven workforce analyses necessary for:  
**Evaluation**

North Carolina Medical School Graduates: Retention in State and  
in Primary Care After Five Years, Class of 2004

School	2004 Graduates	In Training or Practice In NC 2009		In Primary Care and in Practice in NC 2009	
		#	%	#	%
Duke	106	21	20%	4	4%
ECU	81	42	52%	21	26%
UNC-Chapel Hill	176	54	31%	26	15%
Wake Forest	107	40	37%	16	15%
<b>Total</b>	<b>470</b>	<b>157</b>	<b>33%</b>	<b>67</b>	<b>14%</b>

# CHALLENGES

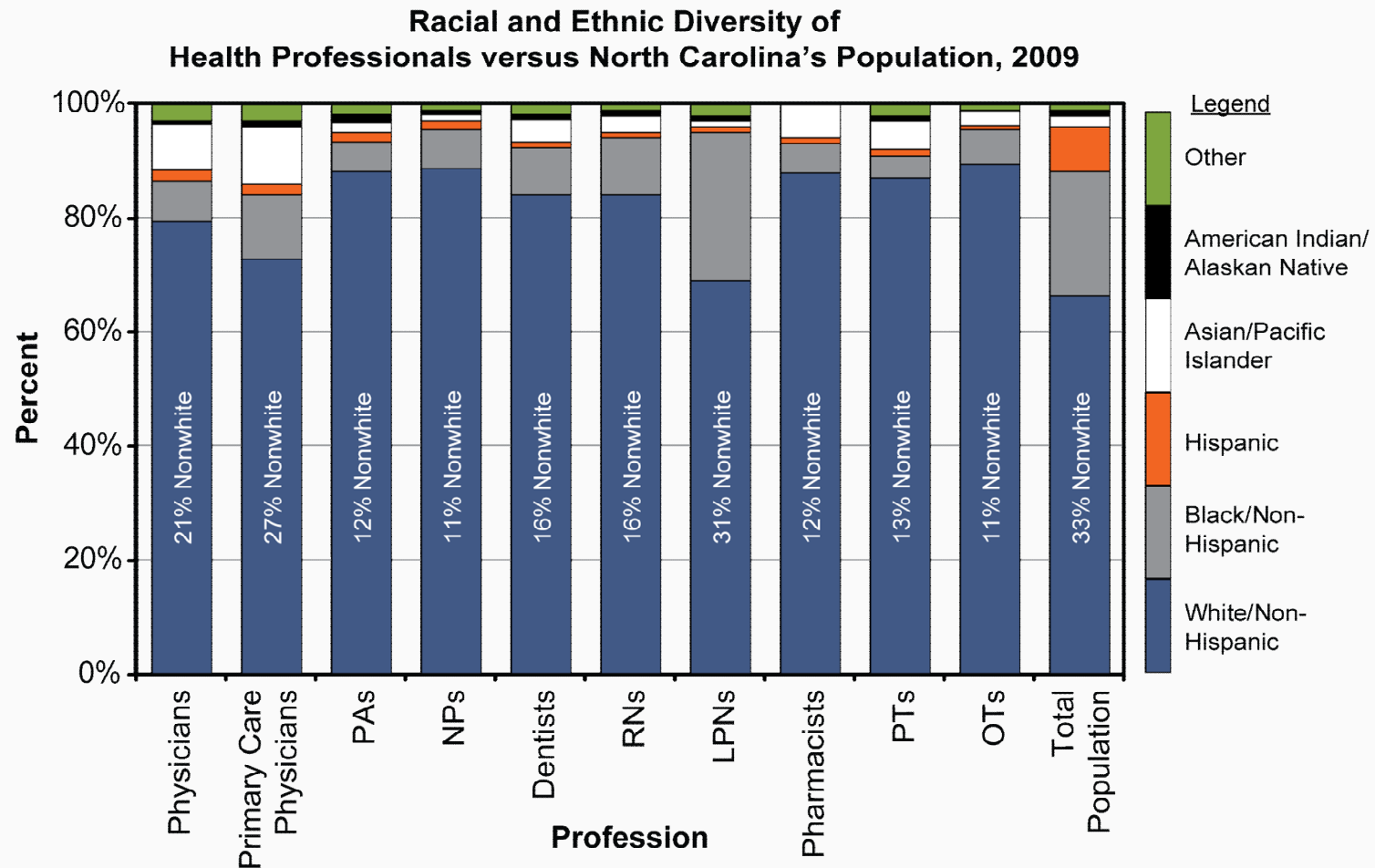
**Motivational**

**Organizational**

**Analytical**

**Financial**

Data-driven workforce analyses necessary to: **Identify “Uncomfortable Truths”**



Sources: NC Health Professions Data System with data derived from the following boards: NC State Board of Dental Examiners, NC Medical Board, NC Board of Pharmacy, NC Board of Physical Therapy Examiners, NC Board of Nursing and the NC Board of Occupational Therapy. Population data derived from Population Estimates, U.S. Census Bureau: State and County QuickFacts. Missing race data were excluded from this analysis.

## CHALLENGES

Motivational

Organizational

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Financial

# Why Do State-Based Workforce Planning?

- State and sub-state variations in health workforce needs
- Supply problematic in some specialties and maldistribution remains persistent problem
- Historical absence of national workforce planning
- Many policy levers are state-level
- Decisions about whether to enact or change policies directed at training, recruiting, and retaining health professionals affect wide range of stakeholders, are source of contentious debate

# Who Uses Data & For What Purposes?

## Government

Policy Decisions  
Allocate funding  
Program planning  
Evaluation  
HPSA analysis  
Grant proposals

## Workforce Policy

Evaluation  
Program planning  
Policy analysis  
Regulatory questions  
Grant proposals  
Pipeline and diversity

## Education, Research

Planning for new schools  
Planning for new programs  
Pipeline and diversity  
Evaluation  
Research projects  
Grant proposals

## Funders

Program planning  
Allocate funding  
Evaluation

## National Organizations

National policy  
Evaluation  
Dissemination  
Improve data quality

## Professional Associations

Advocacy, Membership  
Policy analysis  
Program planning  
Grant proposals

## Other

News stories; class projects; locational analysis; loan repayment; court cases; advertise seminars

## Key Organizational Challenges

- Licensure body does not see data collection as their role
- Licensure body lacks staff able to undertake data collection
- Organization of licensure body: housed within government versus as independent entity?  
As one body or individual boards?
- Do you legislate this?

## CHALLENGES

Motivational

Organizational

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Financial

### Key Organizational Challenges

- Can highlight underlying turf issues between existing organizations
- Where are data housed?
- How to protect confidentiality? Who can access data and for what purpose?
- How to protect data objectivity—Sheps Center as academic institution has credibility and is seen as objective



# CHALLENGES

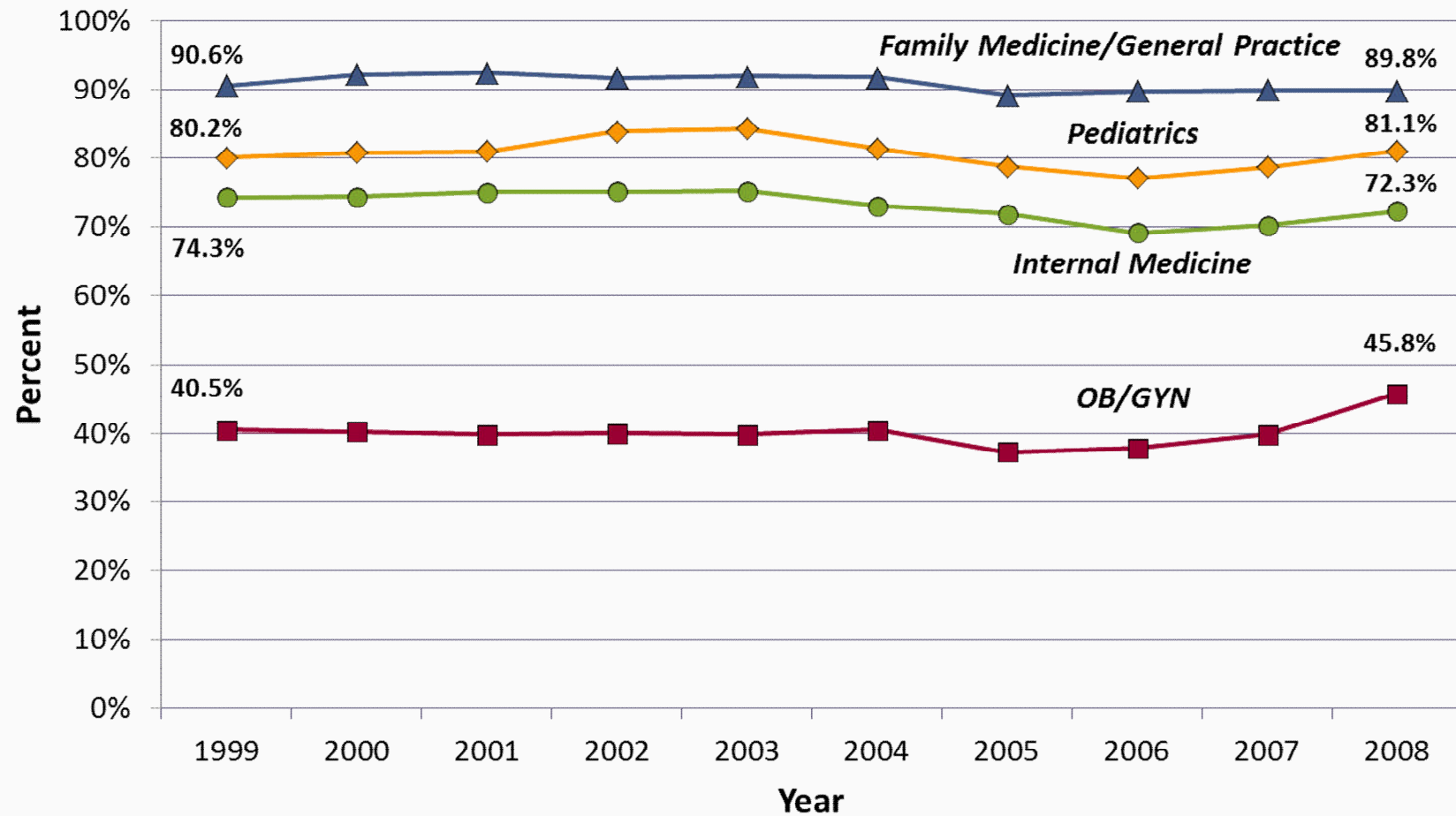
Motivational

Organizational

Analytical

Financial

**Percentage of Total Clinical Care Hours Spent in Primary Care  
North Carolina, 1999-2008**



## CHALLENGES

Motivational

Organizational

Analytical

Financial

### **Funding: Where Do States Get Money to Support Increased Data Collection?**

- Start up costs: separate, new and shiny entity versus embedding it in existing entity
- Cost of maintaining system to ensure longitudinal data
- Who bears the costs? The licensure body? The tax-payer? AHEC? Private foundations? Professional associations?
- Staffing: need data management, analytic, cartographic, policy analysis, writing, presentation skills, etc.

# Keys to Success

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**How have we been able to get past the challenges?**

▶ **Vision**

▶ **Persistence**

▶ **Relationships**

▶ **Objectivity**

▶ **Quality**

▶ **Cooperation**

# Questions?

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